

1. DATE ISSUED MM/DD/YYYY 06/06/2018  
 2. CFDA NO. 93.234  
 3. ASSISTANCE TYPE Cooperative Agreement

Department of Health and Human Services  
 Administration For Community Living  
 AOD Traumatic Brain Injury State Demonstration Grant Program  
 Switzer Building 330 C Street, SW  
 Washington, DC 20201-0003

1a. SUPERSEDES AWARD NOTICE dated  
 except that any additions or restrictions previously imposed remain  
 in effect unless specifically rescinded

4. GRANT NO. 90TBSG0031-01-00 Formerly  
 5. ACTION TYPE New

6. PROJECT PERIOD MM/DD/YYYY  
 From 06/01/2018 Through 05/31/2021

7. BUDGET PERIOD MM/DD/YYYY  
 From 06/01/2018 Through 05/31/2019

**NOTICE OF AWARD**  
 AUTHORIZATION (Legislation/Regulations)  
 Public Health Service Act, Section 1252, as amended (please see remarks  
 for full statute)

8. TITLE OF PROJECT (OR PROGRAM)  
 Traumatic Brain Injury State Partnership Program Partner State Funding Opportunity

9a. GRANTEE NAME AND ADDRESS  
 Human Services, Vermont Agency Of  
 Alternate Name: State of Vermont, Agency of Human  
 Services  
 280 State Dr  
 Waterbury, VT 05671-9501

9b. GRANTEE PROJECT DIRECTOR  
 Ms. Megan Tierney-Ward  
 280 State Drive, HC2 South  
 Adult Services Division  
 Waterbury, VT 05671-2070  
 Phone: 802 241-0294

10a. GRANTEE AUTHORIZING OFFICIAL  
 Ms. Megan Tierney-Ward  
 280 State Drive, HC2 South  
 Adult Services Division  
 Waterbury, VT 05671-2070  
 Phone: 802 241-0294

10b. FEDERAL PROJECT OFFICER  
 Ms. Dana Fink  
 Switzer Building  
 330 C Street, SW  
 Washington, DC 20201-1401  
 Phone: 202 795-7604

**ALL AMOUNTS ARE SHOWN IN USD**

<b>11. APPROVED BUDGET (Excludes Direct Assistance)</b>	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages .....	72,994.00
b. Fringe Benefits .....	45,255.00
c. Total Personnel Costs .....	118,249.00
d. Equipment .....	0.00
e. Supplies .....	1,000.00
f. Travel .....	6,942.00
g. Construction .....	88,500.00
h. Other .....	9,035.00
i. Contractual .....	0.00
j. TOTAL DIRECT COSTS →	223,726.00
k. INDIRECT COSTS	19,436.00
l. TOTAL APPROVED BUDGET	243,162.00
m. Federal Share	149,011.00
n. Non-Federal Share	94,151.00

<b>12. AWARD COMPUTATION</b>	
a. Amount of Federal Financial Assistance (from item 11m)	149,011.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	0.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	149,011.00
<b>13. Total Federal Funds Awarded to Date for Project Period</b>	<b>149,011.00</b>

<b>14. RECOMMENDED FUTURE SUPPORT</b> (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2	150,000.00	d. 5	
b. 3	150,000.00	e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS)	<b>b</b>
---	----------

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation  
 b. The grant program regulations.  
 c. This award notice including terms and conditions, if any, noted below under REMARKS.  
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -  Yes  No)

This action is issued as a new award for the period identified in box 7., and federal amount on line 12d.

GRANTS MANAGEMENT OFFICIAL: Tanielle Chandler, Grants Management Officer

17. OBJ CLASS 41.45	18a. VENDOR CODE 1036000264D4	18b. EIN 036000264	19. DUNS 809376155	20. CONG. DIST. 00
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 8-2994979	b. 90TBSG003101	c. AOD	d. \$149,011.00	e. 75-18-0142
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

PAGE 2 of 4	DATE ISSUED 06/06/2018
GRANT NO. 90TBSG0031-01-00	

## STANDARD TERMS

### 1. Cooperative Agreement

This award is issued as a cooperative agreement, a financial assistance mechanism in which substantial ACL programmatic involvement is anticipated. This award is subject to the grantee and collaborative requirements and responsibilities set forth in the Cooperative Agreement outlined in the agency funding opportunity HHS-2018-ACL-AOD-TBSG-0282 as announced. Those responsibilities are hereby incorporated by reference as special terms and conditions of this award.

#### Standard Administrative Terms

This award is paid by DHHS Payment Management System (PMS). Please go to <https://pms.psc.gov/> for payment and reporting information.

This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <https://www.acl.gov/grants/managing-grant#4>.

Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 75, directly apply to this award apart from any coverage in the HHS GPS. Also, the general provisions from “The Consolidated Appropriations Act, 2018,” Pub. L. No 115-141, signed into law on March 23, 2018, apply to this award and can be found on the ACL website: <https://www.acl.gov/grants/managing-grant#>

Initial expenditure of funds by the grantee constitutes acceptance of this award. Any future support is subject to the availability of funds and programmatic priorities.

Grantees are hereby given notice that the 48 CFR section 3.908, implementing section 828, entitled “Pilot Program for Enhancement of Contractor Whistleblower Protections,” of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2013 (Pub. L. 112-239, enacted January 2, 2013), applies to this award. The effective date is for all grants and contracts issued on or after July 1, 2013, through January 1, 2017.

#### **Salary Limitation:**

The General Provisions in Division H, § 202, of the Consolidated Appropriations Act, 2018 (P.L. 115-141), includes provisions for a salary rate limitation. The law limits the salary amount that may be awarded and charged to ACL grants and cooperative agreements. Award funds may not be used to pay the salary of an individual at a rate in excess of Executive Level II. The Executive Level II salary of the Federal Executive Pay scale is \$189,600. This amount reflects an individual’s base salary exclusive of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts under an ACL grant or cooperative agreement. Note that these or other salary limitations will apply in FY 2018, as required by law.

#### **DOMA: Implementation of United States v. Windsor and Federal Recognition of Same-Sex Spouses/Marriages:**

*References: United States v. Windsor, 133 S.Ct. 2675 (June 26, 2013); § 3 of the Defense of Marriage Act, codified at 1 USC § 7.*

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 4	DATE ISSUED 06/06/2018
GRANT NO. 90TBSG0031-01-00	

A standard term and condition of award will be included in the final Notice of Award (NoA) that states: "In any grant-related activity in which family, marital, or household considerations are, by statute or regulation, relevant for purposes of determining beneficiary eligibility or participation, grantees must treat same-sex spouses, marriages, and households on the same terms as opposite-sex spouses, marriages, and households, respectively. By "same-sex spouses," HHS means individuals of the same sex who have entered into marriages that are valid in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By "same-sex marriages," HHS means marriages between two individuals validly entered into in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By "marriage," HHS does not mean registered domestic partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage."

**Reporting Requirements:**

Program Progress Reports are due semi-annually (within 30 days following each six month period), effective with the start date of the award. These reports must be submitted online via the ACLReporting platforms. All grantees will be required to take security and privacy training in order to use ACLReporting.

Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following every other six month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. An additional final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c.

**Federal Awardee Performance and Integrity Information System (FAPIIS):**

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings described in paragraph 2 of this award term and condition. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available.

**Federal Financial Accountability and Transparency Act (FFATA):**

The Federal Financial Accountability and Transparency Act (FFATA) requires data entry at the FFATA Subaward Reporting System located at <http://www.FSRS.gov> for all sub-awards and sub-contracts issued for \$25,000 or more as well as addressing executive compensation for both grantee and sub-award organizations. Additional guidance is located at: [http://www.acl.gov/Funding\\_Opportunities/Grantee\\_Info/FFATA.aspx](http://www.acl.gov/Funding_Opportunities/Grantee_Info/FFATA.aspx)

ACL discretionary grantees are required to use GrantSolutions (GS) for their end to end grants management services (tracking and receiving various award actions, submitting financial and progress reports, general correspondence, requests etc.). The grantee authorizing official identified in box

NOTICE OF AWARD (Continuation Sheet)

PAGE 4 of 4	DATE ISSUED 06/06/2018
GRANT NO. 90TBSG0031-01-00	

---

10a., and grantee project director identified in box 9b., must ensure they are registered with GS and have the appropriate role assigned to them by their organization. Please follow the GS grantee account registration information located at the following URL:<https://www.grantsolutions.gov/support/registration.html>. If you are unable to register or have questions associated with registration, contact your Grants Management Specialist (GMS).

**STAFF CONTACTS**

1. If you need additional information, please consult the ACL website at [http://acl.gov/Funding\\_Opportunities/Grantee\\_Info/Index.aspx](http://acl.gov/Funding_Opportunities/Grantee_Info/Index.aspx) . In addition, your assigned Grants Management Specialist and ACL Project Officer are always available to answer questions. For inquiries related to the negotiation of this award or interpreting the fiscal or administrative requirements, policies, or provisions your contact is Grants Management Specialist, **LaDeva Harris** at **[LaDeva.Harris@acl.hhs.gov](mailto:LaDeva.Harris@acl.hhs.gov)** or **(202) 795-7360**. If you have questions related to program requirements, contact the Program Officer listed in section 10b of the Notice of Award.